

8011-01p SECURITIES AND EXCHANGE COMMISSION

Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission Office of Investor Education and Advocacy Washington, DC 20549-0213

Extension: Rule 0-2, Form ADV-NR

OMB Control No. 3235-0240, SEC File No. 270-214

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") a request for extension of the previously approved collection of information discussed below.

The title for the collection of information is "Rule 0-2 and Form ADV-NR under the Investment Advisers Act of 1940." Rule 0-2 and Form ADV-NR facilitate service of process to non-resident investment advisers and their non-resident general partners or non-resident managing agents. The Form requires these persons to designate the Commission as agent for service of process. The purpose of this collection of information is to enable the commencement of legal and or regulatory actions against investment advisers that are doing business in the United States, but are not residents.

The respondents to this information collection would be each non-resident general partner or non-resident managing agent of an SEC-registered adviser and of an exempt reporting adviser. The Commission has estimated that compliance with the requirement to complete Form ADV-NR imposes a total burden of approximately 1.0 hour for an adviser. Based on our experience with these filings, we estimate that we will receive 47 Form ADV-NR filings

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annually. Based on the 1.0 hour per respondent estimate, the Commission staff estimates a total

annual burden of 47 hours for this collection of information.

Rule 0-2 and Form ADV-NR do not require recordkeeping or records retention. The

collection of information requirements under the rule and form is mandatory. The information

collected pursuant to the rule and Form ADV-NR is a filing with the Commission. This filing is

not kept confidential and must be preserved until at least three years after termination of the

enterprise. An agency may not conduct or sponsor, and a person is not required to respond to, a

collection of information unless it displays a currently valid OMB control number.

The public may view the background documentation for this information collection at the

following website, www.reginfo.gov. Please direct general comments regarding the above

information to the following persons: (i) Desk Officer for the Securities and Exchange

Commission, Office of Information and Regulatory Affairs, Office of Management and Budget,

Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an e-mail

to: Shagufta Ahmed@omb.eop.gov; and (ii) Thomas Bayer, Chief Information Officer,

Securities and Exchange Commission, c/o Remi Pavlik-Simon, 100 F Street, NE, Washington,

DC 20549 or send an email to: PRA Mailbox@sec.gov. Comments must be submitted to OMB

within 30 days of this notice.

Dated: April 21, 2014

Kevin M. O'Neill **Deputy Secretary**

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